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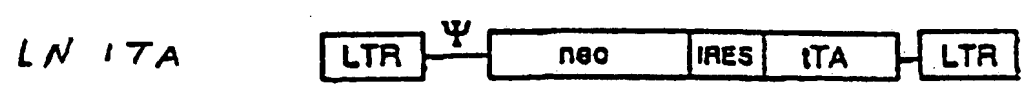
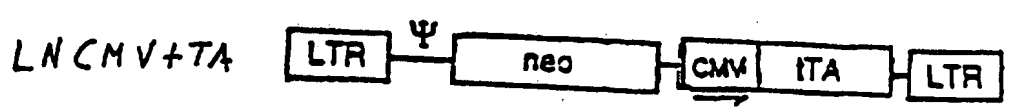
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(54) Title: NOVEL EXPRESSION VECTORS AND METHODS OF USE



STRUCTURE OF MMLV (LN) RETROVIRAL VECTORS USED TO COMPARE  
"FORCED" WITH UNFORCED EXPRESSION

(57) Abstract

The present invention is related to vectors and methods for increasing the expression of a desired gene product. Preferably this invention is used with genes expressing proteins that are not well tolerated by mammalian cells or where high levels of expression are necessary. In certain preferred embodiments it can be used as part of a multi-tiered expression system and with methods of intracellularly targeting a molecule.

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## NOVEL EXPRESSION VECTORS AND METHODS OF USE

The present invention is related to vectors and methods for increasing the expression of a desired gene product. Preferably this invention is used with genes expressing proteins that are not well tolerated by mammalian cells or where high levels of expression are necessary. In certain preferred embodiments it can be used as part of a multi-tiered expression system and with methods of intracellularly targeting a molecule.

Problems that have been encountered with the expression of recombinant proteins can include lower levels of expression than necessary for a particular goal and selection against cells expressing such protein. For example, the HTLV-1 and HTLV-2 tax genes are not well tolerated by mammalian cells. Similarly, the hybrid transactivator (tTA protein) of Bujard [Grossen, M., et al., *Proc. Natl. Acad. Sci. USA* 89: 5547-5551 (1992)], which is composed of the DNA-binding domain of the tetracycline repressor fused to the activation domain of the eukaryotic transcription factor VP16, is also not well tolerated by mammalian cells. Thus, in certain instances, cells expressing such proteins will not replicate as fast or as efficiently as cells that do not express such a protein. Accordingly, when one looks for cells expressing such proteins, one sometimes encounters the phenomenon of "silencing" where cells expressing the desired protein are phenotypically not seen.

One classic strategy that has been adopted to deal with that phenomenon is the use of a selectable marker in association with the gene of interest. Thus, by using selection pressure for the selectable marker, one selects only those cells expressing that selectable marker and increase the probability of such cells also expressing the desired gene. However, while this strategy increases the probability that one will obtain a cell that expresses the desired gene, it does not insure such a selection.

For example, one typically uses a divalent vector or co-transfection. With co-transfection lower expression can be seen. And, with genes encoding proteins that are not well tolerated by a cell, natural selection can act to favor those cells co-expressing not both the selectable marker and the gene of interest. Consequently, where there is some selection pressure against the desired gene product, that strategy is not entirely effective.

Other strategies to combat such problems have been to use strong promoters and enhancers to increase the expression of desired genes. However, the effectiveness of this approach varies depending upon the particular gene products and cells.

Accordingly, it would be desirable to have vectors and/or methods that would reduce the ability of selection pressure to silence, or otherwise select against such genes.

In addition, there are instances where a very high level of expression is desired to accomplish a desired purpose. For example, with certain cells, where a receptor is a target molecule (e.g. IL-2R $\alpha$ ) although intracellular antibodies can target many of the receptors, some receptors escape and will still appear on the surface. Thus, these receptors can still have an adverse effect. Accordingly, increasing the number of for example intracellular antibodies expressed can enhance the effectiveness of their use.

#### Summary of the Invention

We have now discovered novel vector systems and methods which can be used for increasing expression of a desired gene.

In one embodiment this invention relates to the use of internal ribosome entry site (IRES) to link a selectable marker with a gene of interest. This permits "forced-expression" of the

desired gene. Still more preferably the vector would contain a strong promoter that will result in high levels of expression and/or enhancers or other genes or genetic factors that increase gene expression. For example, the inclusion of an HIV tar element. When a tat is added to a gene operatively linked to a TAR element, it results in transactivation and the resultant expression of extremely high levels of that gene. The tat gene may or may not be present as part of the vector.

In an alternative embodiment one uses a vector such as a lentivirus vector, for example an HIV vector, having multiple splice acceptors and splice donors, thereby resulting in the inclusion of additional introns. The presence of introns in that type of vector system result in enhanced levels of expression. More preferably, this vector can be used with IRES sequences.

#### Brief Description of the Drawings

Fig. 1 is a schematic showing the structure of HIV-1 based retroviral vectors that can be used in the present invention. Deletions in genes are indicated by sequence numbers. Splice acceptor (SA) and splice donor (SD) sites are also indicated. X indicates a gene of interest. The major RNA species are also shown.

Fig. 2 shows a schematic of helper virus constructs that can be used with the vectors of Fig. 1 to result in HIV vector encapsulation for use in transforming cells.

Fig. 3 shows Moloney Murine Leukemia Viral (MMLV) vectors that can be used with the gene of interest in the embodiment shown herein. One gene of interest is for a specific single chain antibody for Tac referred to as sFvTackDEL.

Figs. 4A through 4G show the FACS analysis of cell surface expression of IL-2R $\alpha$  on C8166 cells.

Figs. 5A through 5J show the FACS analysis of IL-2R $\alpha$  expression on Jurkat and C8166 transfected cells with a forced expression vector of the present invention expressing sFvTackDEL.

Figs. 6A through 6H show the FACS analysis of IL-2R $\alpha$  expression on HUT102 and Kit225 cells transfected with a forced expression vector of the present invention, the sFvTackDEL forced expression vector.

Figs. 7A through 7G show the FACS analysis of IL-2R $\alpha$  expression on peripheral blood T lymphocytes transfected with the sFvTackDEL forced expression vector.

Fig. 8 is a graph showing the diminished IL-2 responsiveness of IL-2R $\alpha$  negative T cells.

Figs. 9A through 9C show that tax expression using vectors of the present invention is at least as great with the present invention as is seen in a natural tax high expressor cell line, C8166. WT stands for wild-type tax. M47 and M22 are two tax mutants.

Fig. 9A is a growth curve, Fig. 9B is a western block, Fig. 9C shows thymidine incorporation.

Fig. 10 is a schematic showing the structure of MLV retroviral vectors used to compare forced and unforced expression.

#### Detailed Description of the Present Invention

We have discovered that using IRES sequences in a vector results in a stronger linkage between different genes than is seen with using multiple promoters in a multivalent vector. Consequently, by appropriate selection of one of the genes, one can obtain "forced expression" of a desired gene. This permits

selection of such a gene where it normally would be selected against.

For example, the HTLV 1 and HTLV 2 tax genes are typically not well tolerated by normal cells. Thus, even where a multivalent vector is used for tax expression and one uses a marker gene such as puromycin resistance marker (puro) to select transformed cells minimal or no detectable tax expression is seen in stably transduced peripheral blood T lymphocytes by Western blot analysis. This is because there is a selection against those cells also expressing the tax gene. Thus, transformed neo or puro cells not expressing tax are being selected. As a further example, we have found that there may also be a selection in certain cell lines against certain of the antibodies expressed intracellularly (intrabody) for tac and that one can also see silencing. This is a phenomenon that can occur with a wide range of different gene products as well including tTA, genes for other intrabodies, etc, depending upon the particular cell line used. Whether a gene product is or is not well tolerated by a particular cell line can readily be determined empirically by known techniques. For example, one can do simple in vitro tissue culture tests and compare expression of the desired product with its production in a naturally expressing cell line, or with a similarly sized gene product.

Selectable markers are well known in the art and include genes that express proteins that change the sensitivity of a cell to a stimuli such as a nutrient, an antibiotic, etc. Genes include those for neo, puro, tk, multiple drug resistance (MDR), etc.

We have now discovered that using vectors containing IRES can result in multicistronic RNA that can link a gene of interest such as an sFvTac with a selectable marker more effectively than seen in multivalent vectors. The resultant products of that IRES

linkage are not fusion proteins, and they exhibit their normal biological function. Accordingly, the use of these vectors permits the forced expression of a desired protein.

IRES sequences act on improving translation efficiency of RNAs in contrast to a promoter's effect on transcription of DNAs. A number of different IRES sequences are known including those from encephalomyocarditis virus (EMCV) [Ghattas, I.R., et al., *Mol. Cell. Biol.*, 11:5848-5859 (1991)]; BiP protein [Macejak and Sarnow, *Nature* 353:91 (1991)]; the Antennapedia gene of *Drosophila* (exons d and e) [Oh, et al., *Genes & Development*, 6:1643-1653 (1992)]; as well as those in polio virus [Pelletier and Sonenberg, *Nature* 334: 320-325 (1988); see also Mountford and Smith, *TIG* 11, 179-184 (1985)].

IRES sequences are typically found in the 5' noncoding region of genes. In addition to those in the literature they can be found empirically by looking for genetic sequences that effect expression and then determining whether that sequence effects the DNA (i.e. acts as a promoter or enhancer) or only the RNA (acts as an IRES sequence).

One can use these IRES sequences in a wide range of vectors ranging from artificial constructs (such as in USSN 08/199,070, filed February 22, 1994 to Marasco, et al.; PCT No. PCT/US95/02140) to DNA and RNA vectors. DNA vectors include herpes virus vectors, pox virus vectors, etc. RNA vectors are preferred. Still more preferably one uses a retroviral vector such as a moloney murine leukemia virus vector (MMLV) or a lentivirus vector such as HIV, SIV, etc. These vectors are sometimes referred to as defective vectors, and as used herein that term means that while the vectors retain the ability to infect, they have been altered so they will not result in establishment of a productive wild-type disease.



We found that lentivirus vectors typically contain multiple splice acceptors and splice donor sites (See Figure 1). The presence of these splice donor and acceptors can result in enhanced levels of expression of the desired protein. Figure 1 shows the potential transcription/translation products produced. Six RNA species for HVSL3PIX are shown and five species for HVSL3PIX. In these species whether or not a particular gene is expressed depends upon the splice acceptor and splice donor sites and then the gene's position upon reentry. In these constructs, we believe most of the transcripts have the gene of interest (X) and this also accounts for its high level of expression. Thus, although not wishing to be bound by theory, we believe enhanced levels of expression are obtained because these vectors contain introns.

The vectors containing multiple splice acceptors and donor sites can be used in an alternative embodiment without an IRES sequence.

In addition to using these vectors with genes that are not well tolerated by a particular cell, there are instances where extremely high levels of a particular gene are desired. For example, when targeting a receptor where even the presence of a small number of receptors can permit an undesired result, e.g. infection by a virus such as with CD4 and HIV, malignant transformation of a cell, inappropriate signal transduction.

For example, we have found that the intracellular expression of an antibody such as one for Tac (e.g. sFvTacKDEL) can be expressed in certain cells (e.g. Jurkat) but not be entirely effective in other cells (e.g. C8166), where some IL-2R $\alpha$  receptors are seen. However, we have been able to obtain high levels of an intrabody with a vector of the present invention. For example, a forced expression HIV-1 vector containing IRES

sequences and multiple splice acceptance and splice donor sites (See Figure 1 and Figures 4-8).

This vector uses the 5' HIV LTR which contains a promoter and also the TAR element. In that vector the genes of interest are operably linked to the TAR element. Thus, when tat interacts with the TAR element, the gene is trans-activated resulting in high levels of expression. In one embodiment one can supply tat by including the tat gene as part of the vector. See Figure 1. One can also include a rev responsive element (RRE) to further tailor expression. When an RRE is used, rev is necessary for efficient expression of the gene the RRE is linked to (See the first transcript in Figure 1). The gag RRE is present, thus this species is rev dependent. In other embodiments one can use the TAR element as part of an inducible or multi-tiered system. Thus, for example, if one wishes to use for example an intrabody that one wishes to have expressed at high levels only at certain times, for example (a gene for an intrabody for the HIV envelope gene or the HIV gag gene) in the presence of HIV virus one would not include the tat gene as part of such a vector. Similarly, there are other instances where one would specifically want to either co-transfect the cell with the tat gene at a specified time or supply tat proteins as a specified time. In those instances one would also not include the tat gene as part of the vector.

In such instances, one might also want to use a different promoter than the promoter region in the HIV LTR. Promoters are well known in the art and can readily be selected depending upon the particular cell line that one wishes to have expression. Promoters that will permit expression in mammalian cells are well known and include cytomegalovirus (CMV) intermediate early promoter, viral LTRs, such as the rous sarcoma virus, the HTLV-1 LTR, the simian virus 40 (SV 40) early promoter, *E. coli* lac UV 5 promoter and the herpes simplex tk virus promoters.

For example, we have found that using a known MMLV retroviral, vector, e.g. the LN vector of D. Miller, we have been able to obtain forced expression with a poorly expressed gene in C8166 cell lines. See Fig. 10. The tTA protein is selected against and poorly expressed under normal conditions. Thus, for example, with the vector LNCMVtTA (a divalent vector wherein the neo gene is under the control of the MMLV LTR promoter and the tTA is under the control of an internal CMV promoter) we found that the percent of G418 resistance cells that also express tTA was zero (0/12). In contrast, when we linked expression of tTA to the expression of the neo by using a IRES sequence, in an identical vector, substituting the EMCV IRES sequence for the CMV promoter we obtained expression of tTA 80% of the time in those cells that demonstrated G418 resistance (8/10).

The tax gene is typically selected against. Thus, divalent MMLV vectors where tax was under the control of an LTR and a selectable marker either puro or neo were under the control of the SV40 promoter gave minimal or no detectable expression in stably transduced PBMCs as determined by western blot analysis, i.e. there was undetectable expression and no phenotypic response. In contrast, when we used an IRES sequence to result in forced expression using the puro gene in an HIV forced expression vector we obtained easily measurable tax protein levels as determined both by Western blot analysis and biological phenotype (See Figs. 9A-9C). Indeed as shown in Fig. 9B, the level of expression of tax (WT) as well as a variety of different tax mutants (M22 and M47) was as high or higher than the tax expression seen in an HTLV-1 transformed cell line that is a high expressor of tax, C8166.

We have also demonstrated that our forced expression system works with genes for intrabodies. See, Figures 4-8.

The forced expression vectors can be used in a variety of different systems ranging from *in vitro* to *in vivo*. For example, one difficulty encountered with *ex vivo* somatic cell therapy is the relatively poor rate of transfection frequently seen when retroviral are used. vectors. This requires difficult selection methods and, as shown herein, even when selecting using a marker gene, if the other gene is not well-tolerated by this cell, one often will not be obtaining a transformed cell that will also express the gene of interest. Thus, the present system is particularly useful with such cells, for example, with transforming bone marrow cells.

The present system can also be used to enhance *in vivo* selection. In this instance, one must choose the appropriate marker. Such markers are well known and include those that would make certain cells more (e.g. using the *tk* gene) or less susceptible (e.g. using the *MDR* gene) to certain other agents. For example, one can use the method of intracellular targeting of cells in conjunction with other therapies. Frequently after initial exposure to certain treatments a tolerance is rapidly developed making those treatments relatively ineffective or totally ineffective. Thus, one method of using forced expression is to include a gene that provides resistance against that therapy. For example, transforming non-tumor cells surrounding a tumor with an intrabody linked to a taxol resistant gene or an *MDR* gene prior to chemotherapeutic treatment. The treatment would kill the majority of non-transduced cells, and select for transduced cells expressing the intrabody.

The expression vectors can be used to transform cells by any of a wide range of techniques well known in the art, including electrophoresis, calcium phosphate precipitation, catheters, liposomes, etc.

The amount of vector one would use would depend upon the particular use. For example, one could inject sufficient amount of the vectors to obtain a serum concentration of the desired protein ranging between about 0.05  $\mu\text{g/ml}$  to 20  $\mu\text{g/ml}$  of desired protein. More preferably, the range should be between about 0.1  $\mu\text{g/ml}$  to 10  $\mu\text{g/ml}$ . Still more preferably, between about 0.5  $\mu\text{g/ml}$  to about 10  $\mu\text{g/ml}$ .

The vectors, as aforesaid, can be administered by a wide range of techniques including parenteral injection, intraperitoneal, intravenous, intracranial, subcutaneous, oral, or other known routes of administration.

The materials can be administered in any convenient means. For example, it can be mixed with an inert carrier, such as sucrose, lactose, or starch. It can be in the form of liposomes or other encapsulated means. It can also be as part of an aerosol.

Typically, when administered to a host animal, it will be injected in a sterile aqueous or non-aqueous solution, suspension, or emulsion in association with a pharmaceutically acceptable parenteral carrier such as physiological saline.

The present invention is further illustrated by the following examples, which are provided to aid in the understanding of the invention and are not construed as a limitation thereof.

#### Examples

Two retroviral cassettes having the structure shown in Figure 1 were prepared using known techniques. Three deletions in HIV-1 proviral clone HXBC2 (2096-5743), (7041-7621) and (8475-9015) removed part or all of the gag, pol, env, rev, vif and nef open reading frames. The two exons of tat are intact, as are the

splice donor and acceptor sites required for tat mRNA expression. By using appropriate endonucleases the tat gene can also be inactivated. A cassette composed of a puromycin acetyl transferase gene (puro), an internal ribosome entry site (IRES or RES) (the EMCV 5' IRES) and a second gene (gene X) were inserted at position 8475, immediately downstream of a naturally occurring splice acceptor site. The resulting vector was designated HVPIX.

In the vector HVSL3PIX, an internal SL3 promoter was incorporated upstream of puro providing an alternative expression mechanism for the puro-IRES-X cassette. This permits tat-independent expression. This can be used in the context of a self inactivating vector. The rev responsive element (RRE) was retained to maximize production of the full length vector RNA in the packaging cell (where rev is supplied in trans).

In the target cell, the absence of rev ensures that only terminally spliced mRNAs (or those initiated at the internal promoter) will be produced. This strategy (i) maximizes expression of mRNAs encoding tat and the 3' heterologous genes (ii) safeguards against the production of full length or partially spliced (env) RNAs which could potentially be packaged by a coinfecting (or endogenous) retrovirus or be translated to produce immunogenic gag or env fragments. We have termed these constructs "forced expression vectors", as the selectable marker gene (puro) and the gene of interest (X) are translated from the same mRNA. This strategy eliminates the possibility of promoter suppression and has allowed the expression of genes which are not tolerated well by mammalian cells such as (tTA) and tax.

#### Vector encapsidation and transduction

The vector constructs were introduced into target cells in the form of a conventional retrovirus vector. In the absence of a stable packaging cell line for HIV-1 vectors, vector particles

were generated by transiently transfecting COS-1 cells with the vector and two plasmids encoding a HIV-1 helper virus (Figure 2). Each of the plasmids contained an SV40 origin of replication allowing high level expression in COS-1 cells and the helper virus genome contained multiple cis-acting replication defects which prevent its own transmission.

5-10  $\mu$ g each of vector and packaging plasmids were cotransfected into COS-1 cells using the DEAE dextran technique. Virus-containing supernatants were harvested 48 and 72 hours later and used immediately to infect target cells. Puromycin selection was applied 24-48 hours after the second infection at 0.5  $\mu$ g/ml.

Using known techniques, the above strategy can be varied to create stable packaging cell lines.

#### Vector transfer into primary T-lymphocytes

Ficoll separated peripheral blood mononuclear cells (PBMCs) were stimulated with 1  $\mu$ g/ml phytohaemagglutinin (PHA) at  $1 \times 10^6$  cells/ml in RPMI-1640 supplemented with 10% fetal bovine serum. Interleukin 2 (IL-2) was added 48h later (day 2) at 10 units/ml. The following day,  $2 \times 10^6$  cells were infected with 2-5 ml of the vector stock. The infection was repeated with fresh virus on day 4. 48 hours later, puromycin selection was applied at 0.5  $\mu$ g/ml. Transduced PBMCs were fed at 3-4 day intervals with medium containing IL-7 (100 units/ml) and puromycin. Those cells still viable on day 14 were restimulated with PHA and feeder cells, except that IL-7 was substituted for IL-2 as a T-cell growth factor. Puromycin selection was temporarily withdrawn during the stimulation in order not to poison the feeder cells, but was reapplied after 3 days and maintained thereafter. 7-14 days later, the emergent puromycin resistant cell population was analyzed for cell surface phenotype, intrabody expression, etc.

### Generation of single cell subclones

#### A. Established Cell Lines

Bulk populations of transduced cells were seeded at one cell per well of a 96-well plate with 25% conditioned medium.

#### B. PBMC Lines

T cell clones were generated by standard techniques. Briefly, 14 days after the previous stimulation, vector-containing (puromycin resistant) cells were seeded at one cell per well of a 96-well plate in the presence of PHA, feeder cells and IL-7. Wells were fed at 3-4 day intervals with medium containing IL-7. Positive wells were restimulated on day 14.

### FACS analysis

For detection of cell surface IL-2R $\alpha$  expression, cells were analyzed by flow cytometry after staining with the anti-tac monoclonal antibody followed by FITC-conjugated goat anti-mouse IgG.

### Immunoprecipitation

Metabolic labelling and immunoprecipitations were performed. Briefly,  $1 \times 10^7$  cells were metabolically labelled with  $^{35}\text{S}$  cysteine and then lysed. sFvTac and IL-2R $\alpha$  were immunoprecipitated using a polyclonal rabbit serum against mouse IgG and the mAb 7G7/B6 respectively.

### Measurement of IL-2 induced proliferation

7-10 days after PHA stimulation, cells were washed three times to remove traces of IL-2, then plated in triplicate at  $1 \times 10^5$  cells per well of a 96-well round bottom plate. IL-2 was added at doses ranging from 0 to 100 units/ml. 48 hours later, wells were pulsed with 1  $\mu\text{Ci}$  tritiated thymidine. Cells were harvested 18 hours later and thymidine incorporation was measured by liquid scintillation counting.



## Results

### Insufficiency of other expression vectors

We had previously attempted to express the sFvTackDEL intrabody in HTLV-1 transformed cell lines using the vectors illustrated in Figure 3. Those vectors are produced from MMLV vectors using standard means, e.g. the sFvTac gene was reamplified by PCR, digested with appropriate endonucleases, and ligated into the vector. Although the intrabody expression levels obtained with pCMVTackDEL were sufficient to downregulate IL-2R $\alpha$  in Jurkat cells, which express ~9,000 molecules per cell, the vectors shown in Fig. 3 had little or no impact on cell surface expression of IL-2R $\alpha$  in HTLV-1 transformed cell lines which express much higher levels of the receptor (~200,000 molecules per cell) (Figure 4). Immunoprecipitation analysis revealed only trace amounts of the sFvTackDEL intrabody in the stably transfected cells (not shown).

Possible reasons for the inability of these vectors to inhibit IL-2R $\alpha$  expression include:

- counter selection of cells which have downregulated the receptor, owing to a biological requirement for IL-2R $\alpha$  expression in these cells
- counter selection of cells which have downregulated the receptor, owing to a toxic accumulation of immune complexes in the ER or golgi
- inadequate expression levels of the sFvTackDEL intrabody for the goal desired

On the basis of data presented below, although not wishing to be bound by theory we have excluded the first two possibilities, suggesting that efficiency of intrabody gene expression was important in determining the successful outcome of this approach with these cells.

Figure 3 shows diagrams of the vectors pCMVTackDEL, LN-IRES-TackDEL, LN-SR $\alpha$ TackDEL.

Figure 4 shows FACS analysis of C8166 cells transfected with above vectors and showing normal levels of IL-2R $\alpha$ .

Highly efficient downregulation of IL-2R $\alpha$  in HTLV-1 transformed cell lines using the HIV-1 forced expression vector.

Jurkat and C8166 cells were transduced with the vectors HVSL3PITackDEL or HVPITackDEL as described above. Bulk populations of puromycin-selected cells were analyzed for cell surface IL-2R $\alpha$  expression by flow cytometry. Jurkat cells were stimulated for 18 hours with PHA and PMA prior to immunostaining. As shown in Figure 5, a vast majority (>95%) of the puromycin resistant cells were completely negative for IL-2R $\alpha$  expression when stained with the anti-tac mAb. The same result was obtained when the cells were stained with the mAb 7G7/B6, which recognizes a different epitope. This indicates that the lack of staining with anti-tac is not due to masking of the tac epitope by secreted sFvTackDEL.

Immunoprecipitation of IL-2R $\alpha$  from the transfected C8166 cells revealed:

- a complete absence of mature (55 kDa) receptor chains
- intracellular accumulation of the 40 kDa IL-2R $\alpha$  precursor
- coprecipitation of sFvTackDEL with the 40 kDa precursor

Together, these findings suggest that the absence of mature p55 at the cell surface is due to retention of the immature p40 form in the ER, as a complex with sFvTackDEL.

These results have been reproduced in two other T leukemic cell lines which express high levels of IL-2R $\alpha$ . HUT102, an HTLV-1 transformed line, and the Kit225 line. The Kit225 cells are

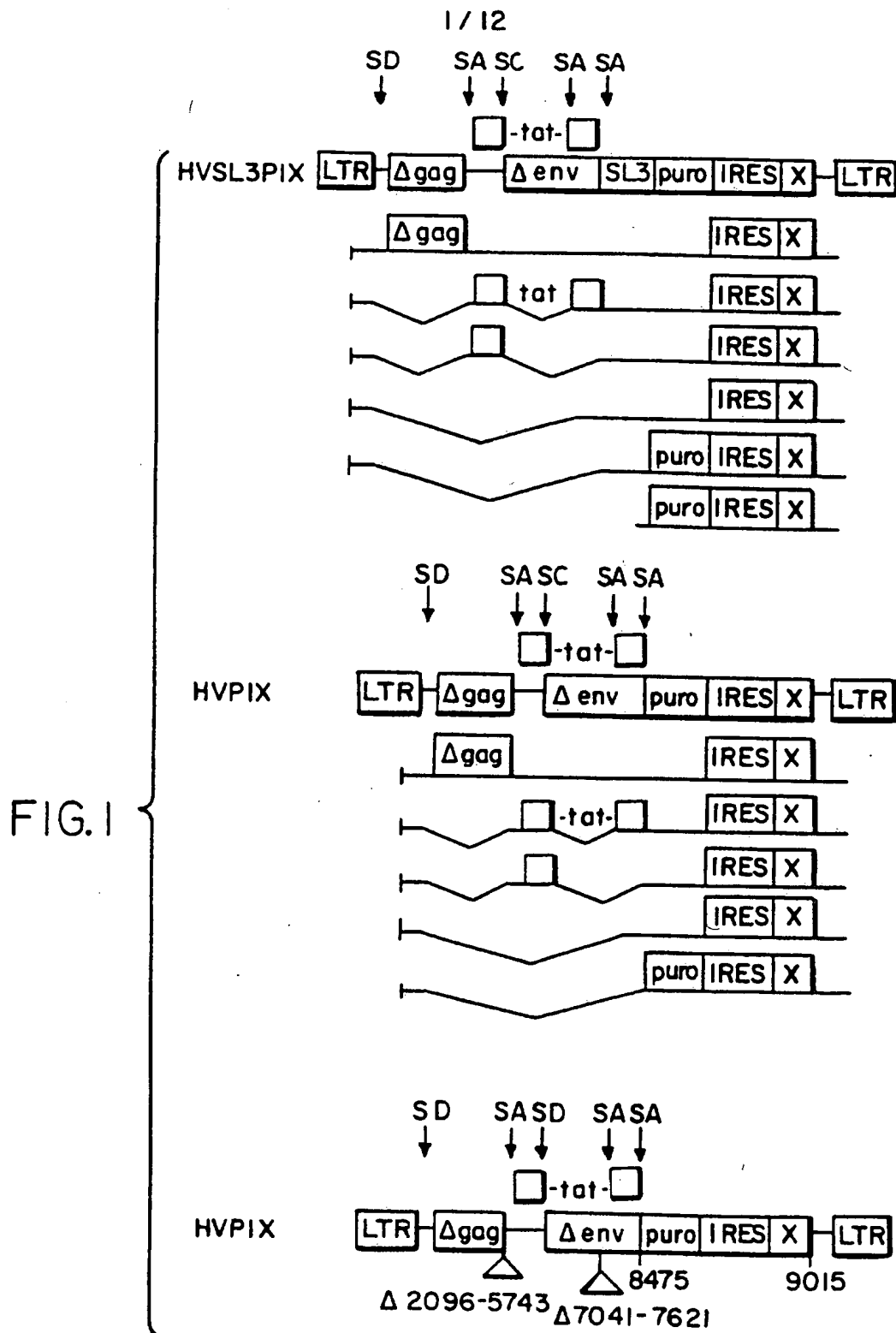
growth factor dependent and were maintained on 100 units/ml IL-7. After introduction of the HIV-1 forced expression vector into these cell lines, a majority of the bulk transduced population were negative for IL-2R $\alpha$  expression. By subcloning from the bulk population, homogenous negative clones were obtained (Figure 6).

Efficient downregulation of IL-2R $\alpha$  and reduced IL-2 responsiveness in PBMCs transduced with the sFvTackDEL forced expression vector.

Figure 7 shows IL-2R $\alpha$  expression on PHA-activated peripheral blood mononuclear cells transfected with the sFvTackDEL forced expression vector or a control vector (1) bulk population (Figures 7A-C); or (2) single cell subclones (Figures 7D-G). Flow cytometric analysis of a bulk PBMC population transduced with the HIV-1 forced expression vector showed virtually no IL-2R $\alpha$  expression (Figure 7B) in comparison to a control population transduced with an irrelevant (empty) vector HVSL3P (Figure 7C). These cells were generated and maintained in the presence of IL-7, an alternative T cell growth factor. By subcloning (Figure 7B), single cell clones were obtained that express no detectable IL-2R $\alpha$  (See Fig. 7E and F). A thymidine incorporation assay was used to measure the IL-2 responsiveness of the IL-2R $\alpha$  negative clones (Figure 8). Figure 8 shows IL-2 induced proliferation in peripheral blood T cell clones which are positive (clone 5) or negative (clone 2) for IL-2R $\alpha$  expression. These clones did not respond to low doses of IL-2 (1 unit/ml). Some proliferation was seen at doses of 10 and 100 units/ml but when compared to an IL-2R $\alpha$ -positive clone, ~10 times more IL-2 was required to achieve an equivalent proliferative response. Some IL-2 responsiveness was expected, even in the absence of IL-2R $\alpha$ , as these cells will still express intermediate affinity receptors for IL-2. These data demonstrate functional as well as phenotypic evidence for the absence of high affinity IL-2 receptors in the IL-2R $\alpha$  negative cells.

We claim:

1. A vector containing a gene of interest operably linked to a selectable marker gene by an internal ribosome entry site (IRES).
2. The vector of claim 1, wherein the gene of interest is a gene that is not well tolerated by a mammalian cell.
3. The vector of claim 2, wherein the gene of interest is selected from the group consisting of a gene for HTLV-1 tax, HTLV-2 tax, an antibody and a protein that is part of a multi-tiered expression system.
4. The vector of claim 1, wherein a defective retroviral vector is used.
5. The vector of claim 4, wherein the defective retroviral vector is a lentiviral vector containing multiple splice donor and splice acceptor sites.
6. The vector of claim 5, wherein the lentiviral vector is an HIV viral vector.
7. A method of using the vector of claim 1 to obtain forced expression of the gene of interest which comprises using the vector of claim 1 to transduce a mammalian cell, culturing the transduced cell under conditions sufficed to express the selectable marker gene, and then exerting selection pressure on the transduced cell to select for that selectable marker.



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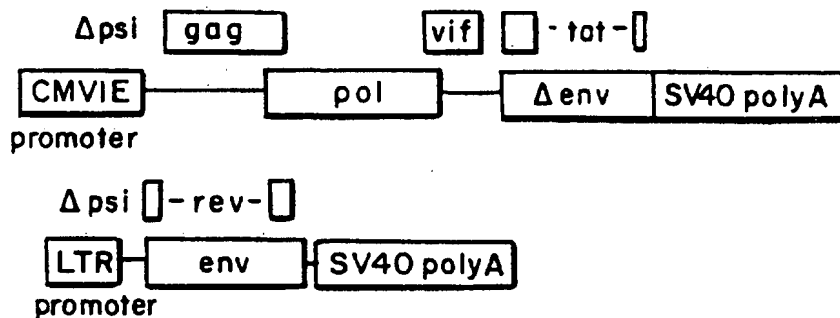


FIG.2

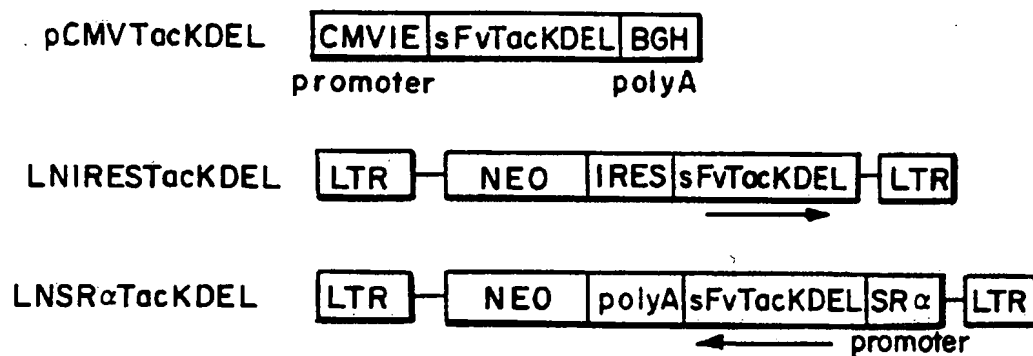


FIG.3

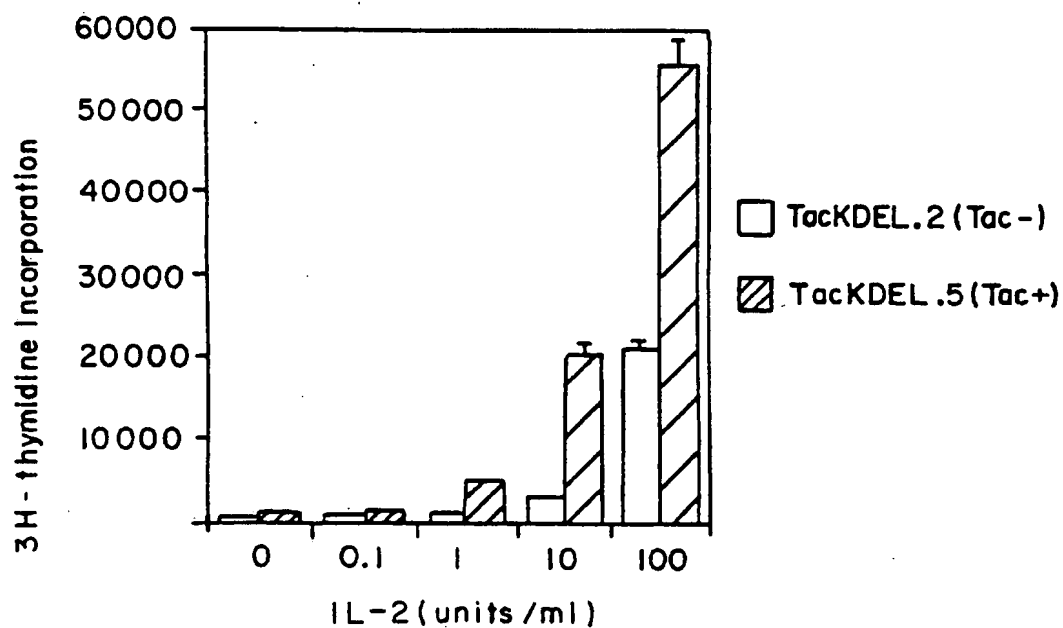


FIG.8

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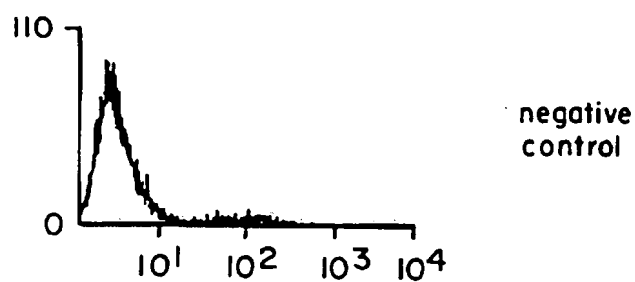


FIG. 4A

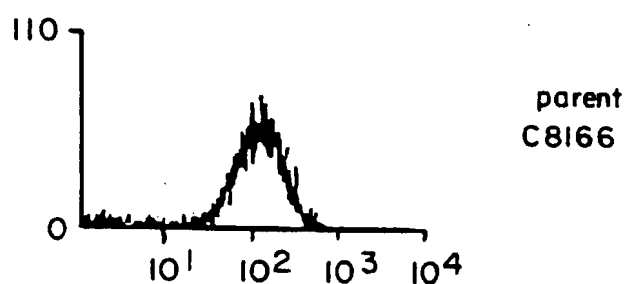


FIG. 4B

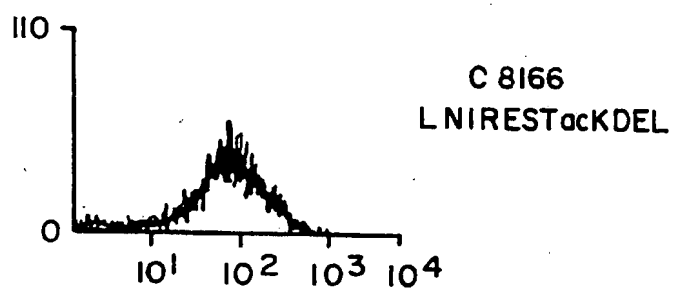


FIG. 4C

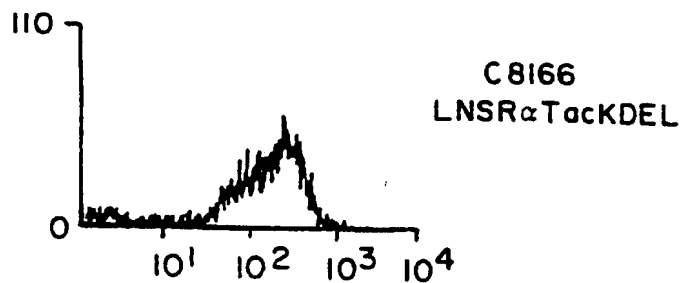


FIG. 4D

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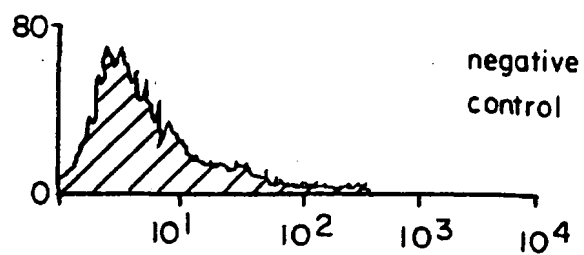


FIG. 4E

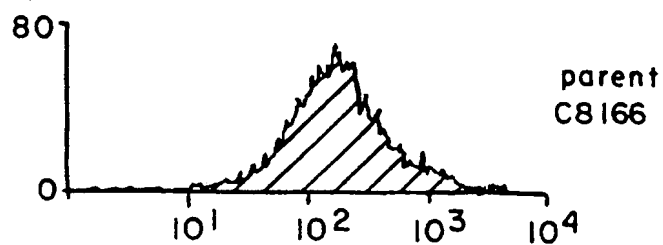


FIG. 4F

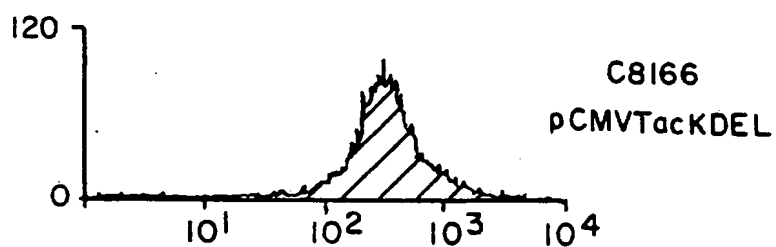
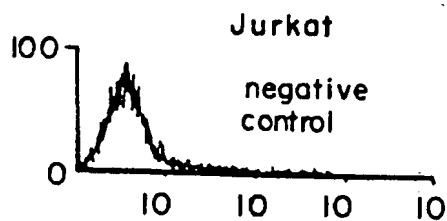


FIG. 4G

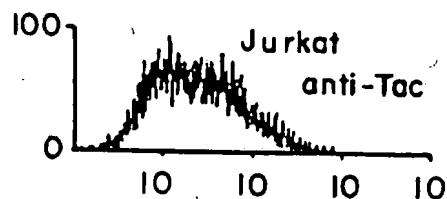


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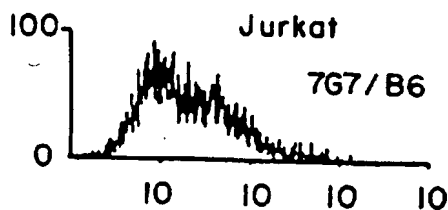
parent  
PHA/PMA  
stimulated

FIG. 5A



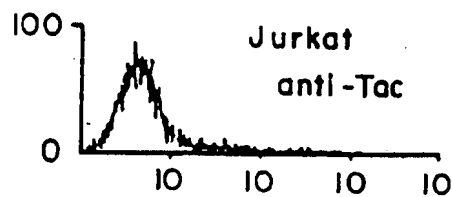
parent  
PHA/PMA  
stimulated

FIG. 5A



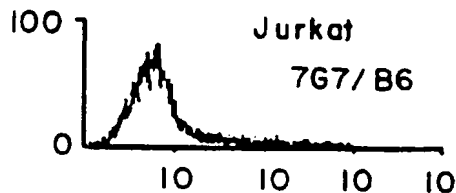
parent  
PHA/PMA  
stimulated

FIG. 5C



sFvTacKDEL  
(bulk pool)  
PHA/PMA  
stimulated

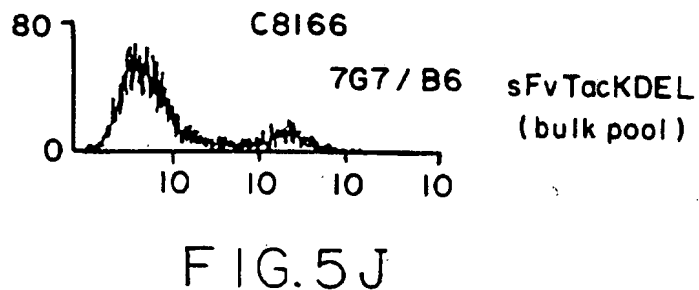
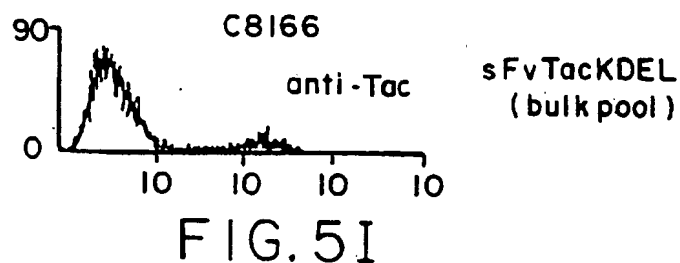
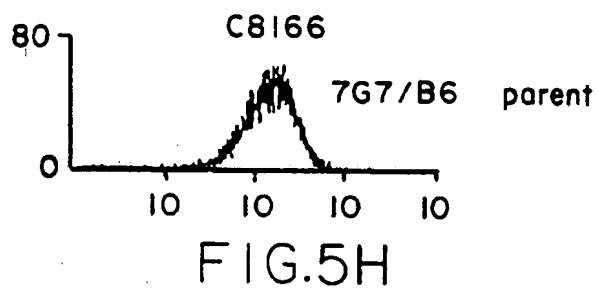
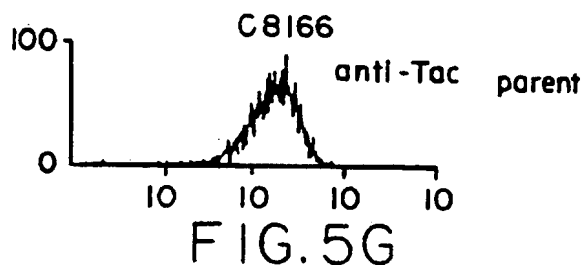
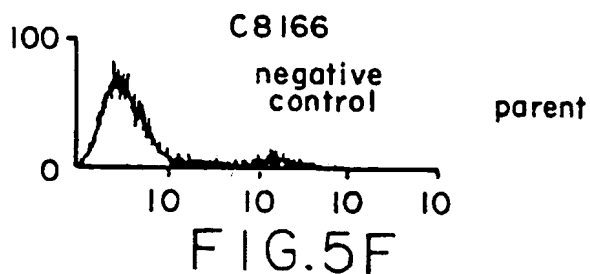
FIG. 5D



sFvTacKDEL  
(bulk pool)  
PHA/PMA  
stimulated

FIG. 5E

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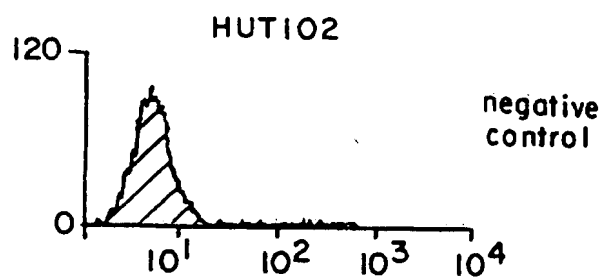


FIG.6A

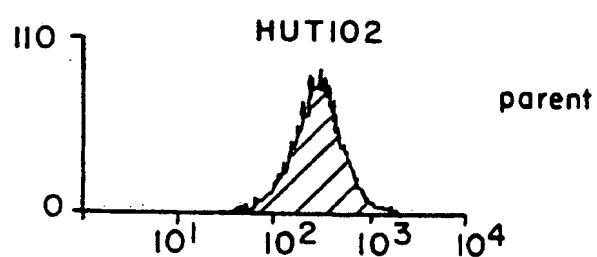


FIG.6B

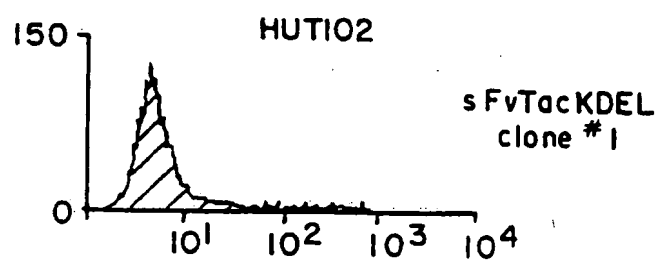


FIG.6C

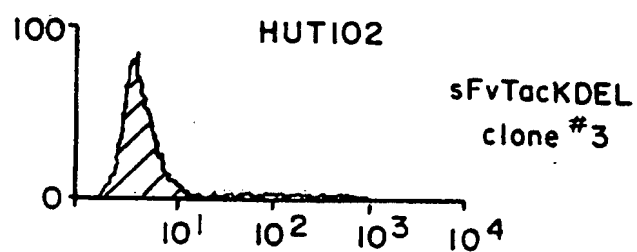


FIG.6D

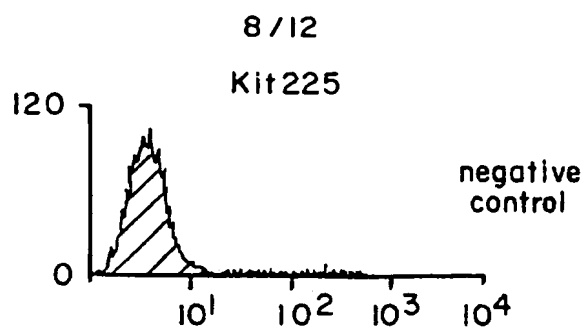


FIG. 6E

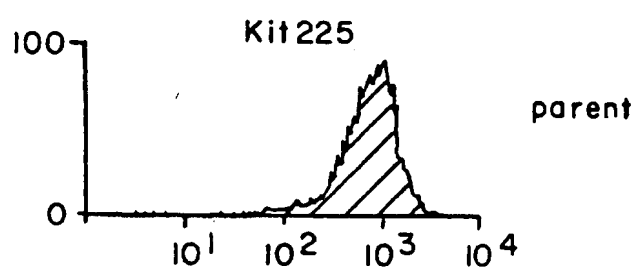


FIG. 6F

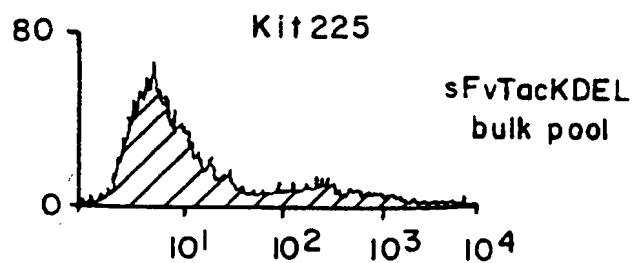


FIG. 6G

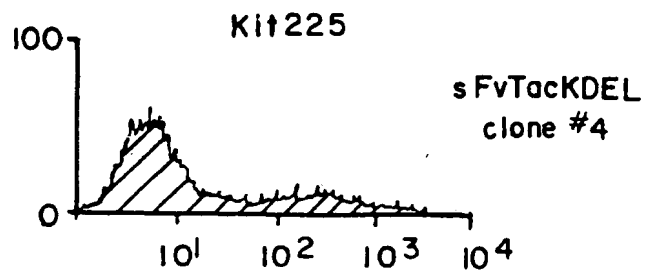


FIG. 6H

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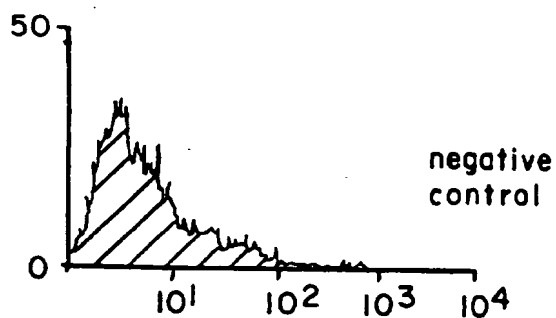


FIG. 7A

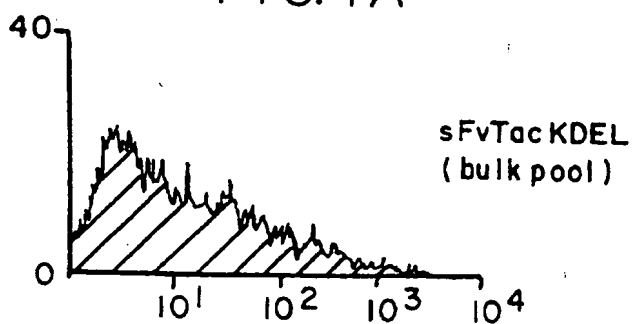


FIG. 7B

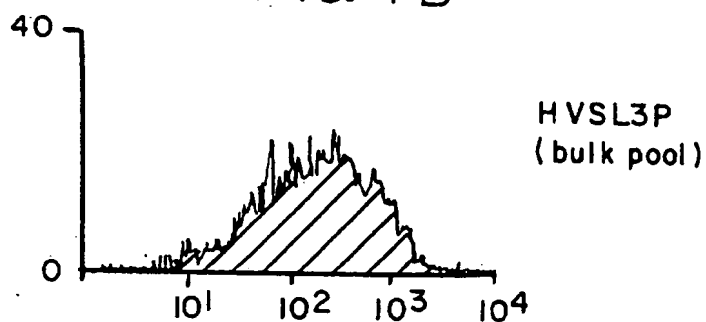


FIG. 7C

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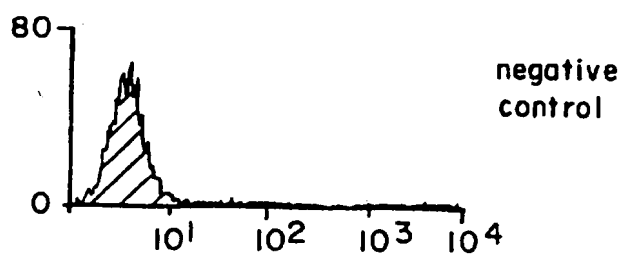


FIG. 7D

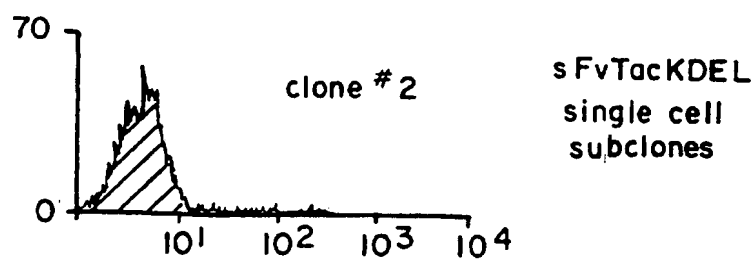


FIG. 7E

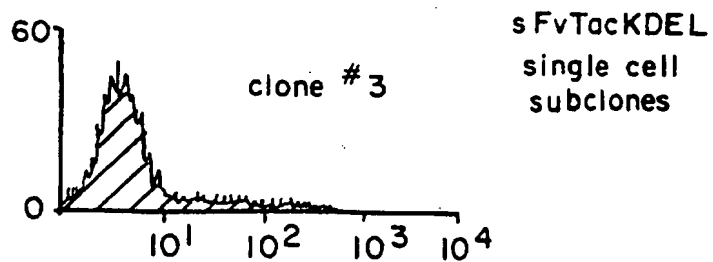


FIG. 7F

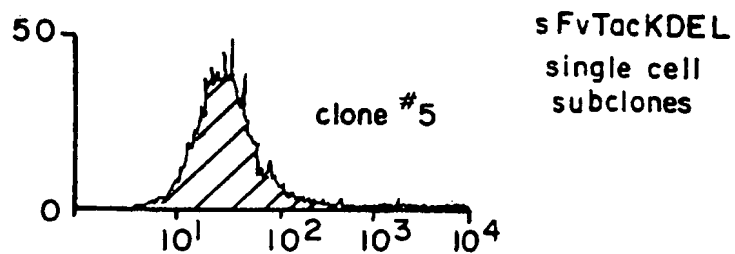


FIG. 7G

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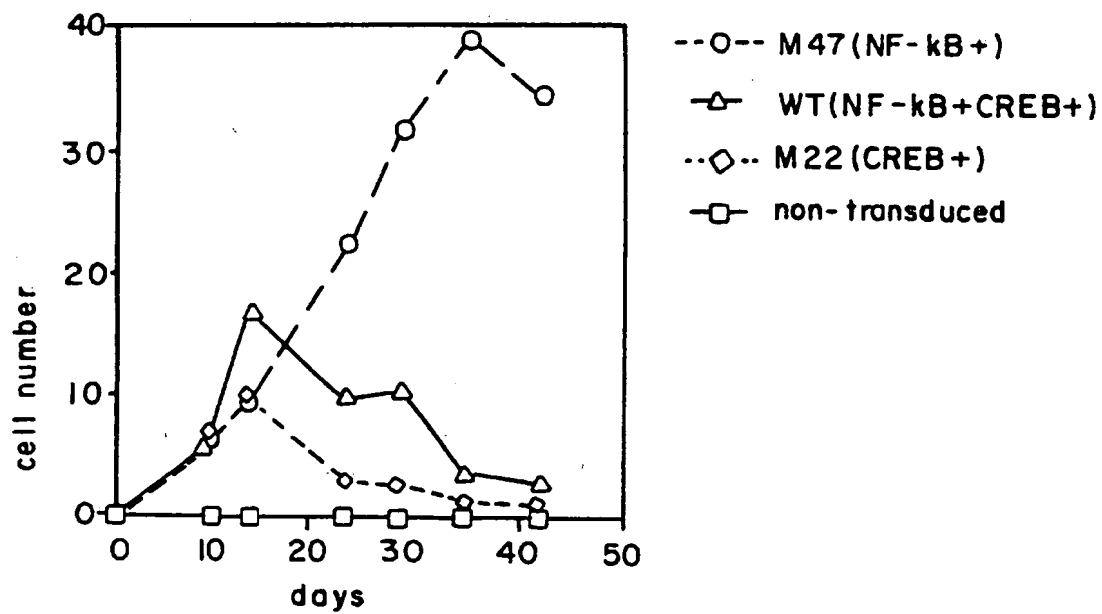
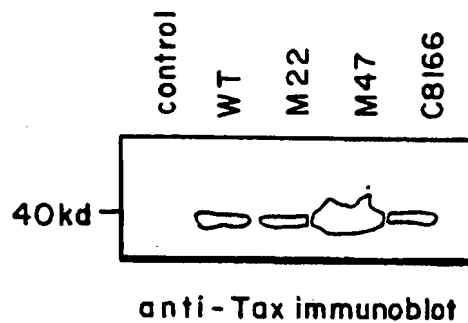


FIG. 9A

FIG. 9B



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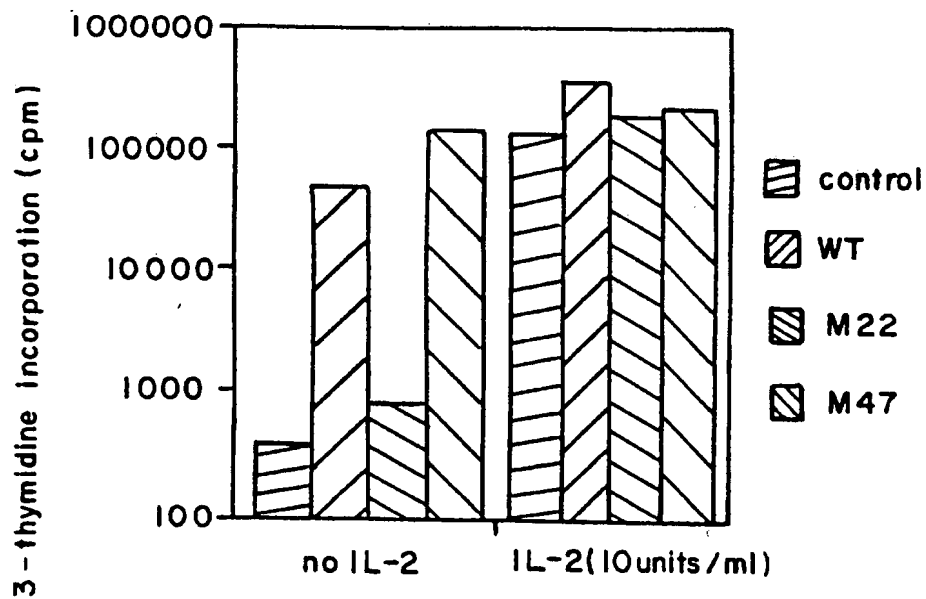


FIG. 9C

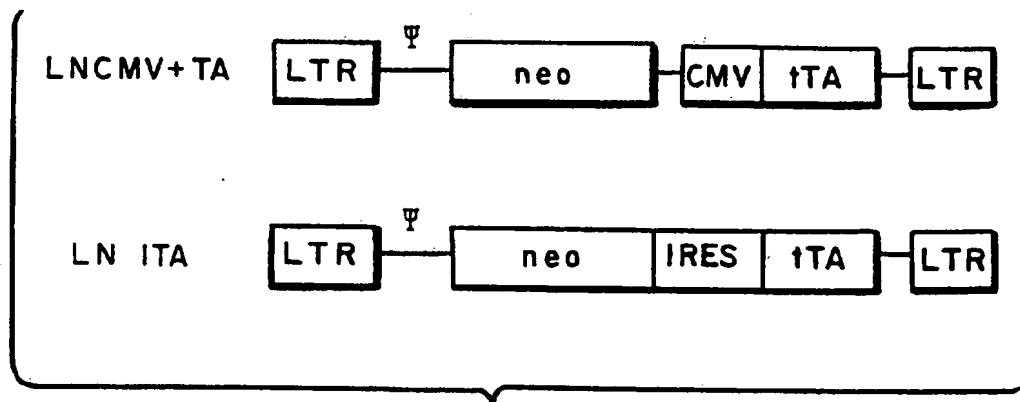


FIG. 10



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(51) International Patent Classification 6 : <b>C12N 15/86</b>	<b>A3</b>	(11) International Publication Number: <b>WO 97/14809</b> (43) International Publication Date: 24 April 1997 (24.04.97)
<p>(21) International Application Number: PCT/US96/16531</p> <p>(22) International Filing Date: 16 October 1996 (16.10.96)</p> <p>(30) Priority Data: 60/005,359 16 October 1995 (16.10.95) US</p> <p>(71) Applicant (for all designated States except US): DANA-FARBER CANCER INSTITUTE [US/US]; 44 Binney Street, Boston, MA 02115 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): MARASCO, Wayne, A. [US/US]; 43 Rice Street, Wellesley, MA 02181 (US). RICHARDSON, Jennifer [US/US]; Apartment 406, 931 Massachusetts Avenue, Cambridge, MA 02139 (US). PAROLIN, Maria, Cristina [IT/US]; 55 Irving Street, Brookline, MA 02146 (US). SODROSKI, Joseph, G. [US/US]; 10 Ashland Place, Medford, MA 02155 (US).</p> <p>(74) Agent: EISENSTEIN, Ronald, I.; Dike Bronstein Roberts &amp; Cushman, L.L.P., 130 Water Street, Boston, MA 02109 (US).</p>		<p>(81) Designated States: AU, CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 5 June 1997 (05.06.97)</p>
(54) Title: NOVEL EXPRESSION VECTORS AND METHODS OF USE		
<div style="text-align: center;"><p>LNCMV+TA    LTR <math>\Psi</math> neo CMV tTA LTR</p><p>LN tTA    LTR <math>\Psi</math> neo IRES tTA LTR</p></div>		
<p>(57) Abstract</p> <p>The present invention is related to vectors and methods for increasing the expression of a desired gene product. Preferably this invention is used with genes expressing proteins that are not well tolerated by mammalian cells or where high levels of expression are necessary. In certain preferred embodiments it can be used as part of a multi-tiered expression system and with methods of intracellularly targeting a molecule.</p>		

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 96/16531

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C12N15/86

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HUM. GENE THER., vol. 5, 1994, pages 1493-1506, XP000575529	1-4,7
Y	L. ZITVOGEL ET AL.: "Construction and characterization of retroviral vectors expressing biologically active human interleukin-12" see the abstract.	5,6
X	WO 93 03143 A (ANDERSON, W. FRENCH, ET AL.) 18 February 1993	1-4,7
Y	see page 5, line 16 - page 9, line 17, page 14, line 21 - page 17, line 27 and Claims.	5,6
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☒ Patent family members are listed in annex.

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Date of mailing of the international search report

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Yeats, S

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International Application No.  
PCT/US 96/16531

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X	HUM. GENE THER., vol. 6, 1995, pages 905-915, XP000653063 Y. SUGIMOTO ET AL.: "Retroviral coexpression of a multidrug resistance gene (MDR1) and human alpha-galactosidase A for gene therapy of Fabry disease"	1-4,7
Y	see the whole document.	5,6
Y	--- J. BIOCHEM., Suppl. (17 part E) (1993), page 250, A.M.L. LEVER ET AL.: "HIV-1 based retroviral vectors - necessity for cis-acting signals ..." XP002028358 see the abstract. & Keystone Symposium on Gene Therapy, Keystone, Colorado, USA, 12-18 April, 1993.	5,6
Y	--- PROG. BIOTECHNOL., vol. 9 (1994), no. ECB6, pages 685-8, M.A. BIASOLO ET AL.: "Gene therapy of AIDS: inhibition of HIV by retroviral vectors" XP000651549 see the whole document. & Proceedings of the 6th European Congress on Biotechnology, part 2, 1993.	5,6
A	--- GENE, vol. 106, 1991, pages 255-259, XP000652023 T. AKAGI ET AL.: "Murine retroviral vectors expressing the tax1 gene of human T-cell leukemia virus type 1" see the whole document. -----	3

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### Information on patent family members

Internal Application No.

PCT/US 96/16531

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9303143 A	18-02-93	CA 2114416 A	18-02-93
		EP 0598029 A	25-05-94
		JP 6509713 T	02-11-94
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